Attorney Docket No. 108298547US Disclosure No. 00-0132

IDV 0 5 2003

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

APPLICATION No.:

**ULRICH C. BOETTIGER AND** 

SCOTT L. LIGHT

09/945,316

FILED:

August 30, 2001

FOR: METHOD AND APPARATUS FOR CONTROLLING

RADIATION BEAM INTENSITY DIRECTED TO MICROLITHOGRAPHIC SUBSTRATES

**EXAMINER:** 

CHRISTOPHER G.

Young

ART UNIT:

1756

CONF. NO:

1351

# Supplemental Information Disclosure Statement Before First Office Action after the Filing of a Request for Continued Examination <u>Under 37 C.F.R. § 1.114 – 37 C.F.R. § 1.97(b)(4)</u>

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### 1. Timing of Submission

This information disclosure is being filed before the mailing date of a first Office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 [37 C.F.R. § 1.97(b)(4)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

### 2. **Cited Information**

⊠	Copies of the following references are enclosed:		
	$\boxtimes$	All cited references -	
		References marked by asterisks	
		The following:	

#### 3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

#### 4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action. Applicant further submits that no fee is due in light of the following certification under 37 C.F.R. § 1.97(e) (check only one): In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filling of this statement; or In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665. Patent Term Adjustment (37 C.F.R. § 1.704(d)) The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d). Respectfully submitted. Perkins Coie LLP Date: November 5,203 John M. Wechkin Registration No. 42,216

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Customer No. 25096 Perkins Coie LLP P.O. Box 1247 Seattle, Washington 98111-1247 (206) 359-8000

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PTC/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-00321 U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/945,316 **TRANSMITTAL** August 30, 2001 Filing Date **FORM** First Named Inventor Ulrich C. Boettiger Art Unit 1756 (to be used for all correspondence after initial filing) **Examiner Name** Christopher G. Young Total Number of Pages in This Submission **Attorney Docket Number** 108298547US **ENCLOSURES** (Check all that apply) Fee Transmittal Form ☐ Drawing(s) ■ After Allowance communication to Group Fee Attached ☐ Licensing-related Papers Appeal Communication to Board Amendment/Reply Petition of Appeals and Interferences Petition to Convert to a After Final Appeal Communication to Group Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation ☐ Proprietary Information Extension of Time Request Change of Correspondence Address ☐ Status Letter Express Abandonment Request Terminal Disclaimer Other Enclosures: Information Disclosure Statement Request for Refund Cited References (3) Return Receipt Postcard **Certified Copy of Priority** CD, Number of CD(s) Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or John M. Wehckin Individual name Registration No. 42,216 Signature Date November 5, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

\* - Total Number of Pages does not include Cited References